



CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I certify that the enclosed correspondence is being deposited on June 25, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to Commissioner for Patents, Washing, D.C. 20231.

Rachael L. Kahler  
Legal Secretary

JONES VOLENTINE, L.L.C.  
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SUITE 150  
RESTON, VA 20191

J.V. REF. NO.: ACT-001

CLIENTS REF. NO.: ACT-125

Serial No.: 09/835,863

Filed : 4/16/2001

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
ACT.001

In Re Application Of:  
Dan A. Steinberg, et al.

JUN 28 2001  
PATENT & TRADEMARK OFFICE

Serial No.  
09/835,863

Filing Date  
04/16/2001

Examiner  
Unknown

Group Art Unit  
2877

Invention:

**CONNECTOR STRUCTURE FOR INTEGRATED OPTIC WAVEGUIDE DEVICES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
06/08/2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

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**ACT.001**

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Serial No.  
**09/835,863**

Filing Date  
**04/16/2001**

Examiner  
**Unknown**

Group Art Unit  
**2877**

Invention:

**CONNECTOR STRUCTURE FOR INTEGRATED OPTIC WAVEGUIDE DEVICES**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

- |   |                 |
|---|-----------------|
| <input checked="" type="checkbox"/> Utility application filing fee  | <u>\$355.00</u> |
| <input type="checkbox"/> Design application filing fee  | _____           |
| <input type="checkbox"/> Total number of independent claims = _____   | _____           |
| <input type="checkbox"/> Total number of claims = _____   | _____           |
| <input type="checkbox"/> Multiple dependent claims  | _____           |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$65.00</u>  |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | _____           |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | _____           |
| <input type="checkbox"/> Fee for processing and retention of application  | _____           |

Total completion of application fees \$420.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$420.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**ACT.001**

In Re Application Of:  
**Dan A. Steinberg, et al.**



Serial No.  
**09/835,863**

Filing Date  
**04/16/2001**

Examiner  
**Unknown**

Group Art Unit  
**2877**

Invention:

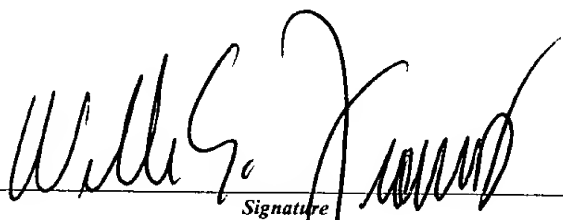
**CONNECTOR STRUCTURE FOR INTEGRATED OPTIC WAVEGUIDE DEVICES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$420.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0238**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0238**  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: **06/25/2001**

**William S. Francos, Esq. (Reg. No. 38,456)**

**JONES VOLENTINE, L.L.C.  
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Telephone: (703) 715-0870**

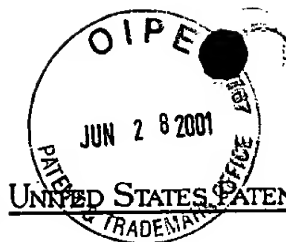
I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC:

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,863	04/16/2001	Dan A. Steinberg	ACT.001

CONFIRMATION NO. 5599

## FORMALITIES LETTER



\*OC000000006164285\*

JONES VOLENTINE, L.L.C.  
SUITE 150  
12200 SUNRISE VALLEY DRIVE  
RESTON, VA 20191

Date Mailed: 06/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

07/03/2001 MBELETE1 00000036 500238 09835863

01 FC:201 355.00 CH  
02 FC:205 65.00 CH